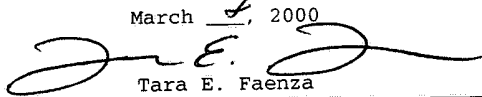


Patent Docket P1150R2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Ashkenazi et al.  Serial No.: 09/195,368  Filed: November 18, 1998  For: DNA19355, A Tumor Necrosis Factor Homolog	Group Art Unit: 1642  Examiner: L. Sun-Hoffman  <b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on  March <u>7</u> , 2000  Tara E. Faenza
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**CERTIFICATE REGARDING SEQUENCE LISTING**


Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

I hereby state that the information recorded in computer readable form in accordance with 37 CFR Section 1.821(e) is identical to the paper copy of the substitute Sequence Listing provided in accordance with 1.821(c). I also hereby state that the computer readable form and paper copy of the substitute Sequence Listing provided in accordance with 1.821(g) do not include new matter.

Respectfully submitted,  
GENENTECH, INC.

Date: March 7, 2000

By:   
Diane L. Marschang  
Reg. No. 35,600

1 DNA Way  
So. San Francisco, CA 94080-4990  
Phone: (650) 225-5416  
Fax: (650) 952-9881



Application No.: 09/195387

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s)

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216  
For CRF Submission Help, call (703) 308-4212  
For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**

COPY FOR [ ] File [ ] Applicant



## Sequence Listing

<110> Ashkenazi, Avi J.  
Baker, Kevin P.  
Godowski, Paul J.  
Gurney, Austin L.  
Mark, Melanie R.  
Marsters, Scot A.  
Pitti, Robert M.

<120> DNA19355 Polypeptide, A Tumor Necrosis Factor Homolog

<130> P1150R2

<140> US 09/195,368

<141> 1998-11-18

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Pro	Pro	Cys	Val	Asn	Lys	Val	Ser	Asp	Trp	Lys	Leu	Glu	Ile	Leu
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Gln	Asn	Gly	Leu	Tyr	Leu	Ile	Tyr	Gly	Gln	Val	Ala	Pro	Asn	Ala
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Ile Phe Asn Ser Glu His Gln Val Leu Lys Asn Asn Thr Tyr Trp					
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